



PTO/SB/21 (09-04) (AW-10/2004)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/692,886

Filing Date 10/24/2003

First Named Inventor George Goicoechea

Art Unit 3731

Examiner Name Uyen T Ho

Attorney Docket No. BSI-210US7

**ENCLOSURES (Check all that apply)**

- ☐ Fee Transmittal Form  
☐ Fee Attached
- ☐ Amendment/Reply  
☐ After Final  
☐ Affidavits/Declaration(s)

- ☐ Extension of Time Request
- ☐ Express Abandonment Request

☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)

- ☐ Response to Missing Parts/  
Incomplete Application  
☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a  
Provisional Application
- ☐ Power of Attorney, Revocation,  
Change of Correspondence  
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_  
☐ Landscape Table on CD

- ☐ After Allowance Communication  
to TC
- ☐ Appeal Communication to Board  
of Appeals and Interferences
- ☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply  
Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please  
identify below): PTO/SB/08a  
and PTO/SB/08b (2 pages); 10  
cited references; 3 Japan Patent  
Office Communications; post  
card receipt

**Remarks:****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm Name RatnerPrestia

Signature

Printed Name Stanley Weinberg

Date 7/12/2007

Registration No. 25,276

**CERTIFICATE OF TRANSMISSION / MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or Printed Name Denise Morgan

Date 7/12/2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/692,886  
Applicant: George Goicoechea et al.  
Filed: October 24, 2003  
Title: BIFURCATED ENDOLUMINAL PROSTHESIS  
TC/A.U.: 3731  
Examiner: Uyen T Ho

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the documents listed on the PTO/SB/08a and PTO/SB/08b submitted herewith. Copies of the documents listed on the PTO/SB/08a and PTO/SB/08b are enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Based on a communication from the Japanese Patent Office in Japan Application No. 2004-335171 dated April 24, 2007, English language EP 0 565 251 A1 (a copy of which is enclosed with this submission) is believed to correspond to JP H06-7454; English language WO 91/17789 (a copy of which is enclosed with this submission) is believed to correspond to JP H05-509008; and English language EP 0 357 003 A2 (a copy of which is enclosed with this submission) is believed to correspond to JP H02-68052.

Based on a communication from the Japanese Patent Office in Japan Application No. 2006-104574 dated May 15, 2007, English language WO 90/15582 (a copy of which is enclosed with this submission) is believed to correspond to JP H04-500328.

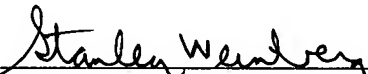
Based on a communication from the Japanese Patent Office in Japan Application No. 2006-104577 dated May 15, 2007, English language EP 0 346 564 A1 (a copy of which is enclosed with this submission) is believed to correspond to JP H02-167178.

More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

STATEMENT

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

  
Joshua L. Cohen, Reg. No. 38,040  
Stanley Weinberg, Reg. No. 25,276  
Attorneys for Applicants

JLC/SW/dhm

Enclosures: PTO/SB/08a and PTO/SB/08b  
(10) References  
(3) Japan Patent Office Communications  
Transmittal Form

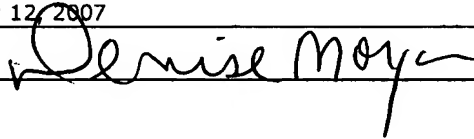
Dated: July 12, 2007

P.O. Box 980  
Valley Forge, PA 19482  
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

July 12, 2007

A handwritten signature in black ink, appearing to read "Denise Moya", is written over a horizontal line. The signature is fluid and cursive.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(Use as many sheets as necessary)*

SHEET 1 of 2

**Complete if Known**

|                      |                   |
|----------------------|-------------------|
| Application Number   | 10/692,886        |
| Filing Date          | 10/24/2003        |
| First Named Inventor | George Goicoechea |
| Art Unit             | 3731              |
| Examiner Name        | Uyen T Ho         |
| Attorney Docket No.  | BSI-210US7        |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                            | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|----------------------------------|--|---|
|                       |                          | Number - Kind Code <sup>2</sup> (if known) |                                  |  |   |
|                       |                          | US-  |                                  |  |   |
|                       |                          | US-  |                                  |  |   |
|                       |                          | US-  |                                  |  |   |
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|                       |                          | US-  |                                  |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup>           |
|-----------------------|--------------------------|---|----------------------------------|---|--|--------------------------|
|                       |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                  |   |  |                          |
|                       |                          | JP H02-167178   | 06/27/1990                       | Medtronic, Inc.                                       |  | <input type="checkbox"/> |
|                       |                          | EP 0 346 564 A1   | 12/20/1989                       | Medtronic, Inc.                                       |  | <input type="checkbox"/> |
|                       |                          | JP H04-500328   | 01/23/1992                       | Hugh Trout  |  | <input type="checkbox"/> |
|                       |                          | WO 90/15582   | 12/27/1990                       | Hugh Trout  |  | <input type="checkbox"/> |
|                       |                          | JP H06-7454   | 01/18/1994                       | Cook Incorporated                                     |  | <input type="checkbox"/> |
|                       |                          | EP 0 565 251 A1   | 10/13/1993                       | Cook Incorporated                                     |  | <input type="checkbox"/> |
|                       |                          | JP H05-509008   | 12/16/1993                       | Richard Stack   |  |                          |
|                       |                          | WO 91/17789   | 11/28/1991                       | Richard Stack   |  |                          |
|                       |                          | JP H02-68052  | 03/07/1990                       | Corvita<br>Corporation                                |  |                          |
|                       |                          | EP 0 357 003 A2   | 03/07/1990                       | Corvita<br>Corporation                                |  |                          |

Examiner  
SignatureDate  
Considered

\*EXAMINER: Include if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

## Complete If Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | 10/692,886        |
| Filing Date          | 10/24/2003        |
| First Named Inventor | George Goicoechea |
| Art Unit             | 3731              |
| Examiner Name        | Uyen T Ho         |
| Attorney Docket No.  | BSI-210US7        |

## NON-PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup>           |
|-----------------------|--------------------------|--|--------------------------|
|                       |                          | Notice of Reasons for Rejection of Japan Patent Application No. 2004-335171 dated April 24, 2007   | <input type="checkbox"/> |
|                       |                          | Notice of Reasons for Rejection of Japan Patent Application No. 2006-104574 dated May 15, 2007   | <input type="checkbox"/> |
|                       |                          | Notice of Reasons for Rejection of Japan Patent Application No. 2006-104577 dated May 15, 2007   | <input type="checkbox"/> |
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| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

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